



COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Liu et al.

Att. Docket No.: AIMN-01-006

Serial No.: 09/467,551

Art Unit: 3628

Filed: 12/10/99

Examiner: Nguyen, Nga

For: "Internet Settlement System"

**Box Non-Fee Amendment**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**REQUEST TO CORRECT FILING RECEIPT**

Sir:

The filing receipt generated by the Patent and Trademark Office (PTO) fails to list one of the inventors (David Ling) listed on the declaration for this application. Applicants hereby request that the PTO correct this mistake. A copy of the filing receipt with the requested correction marked in red is attached to this Request.

Respectfully submitted,

Donald J. Pagel  
Reg. No. 32,832

August 22, 2002  
Law Office of Donald J. Pagel  
586 N. First Street, Suite 207  
San Jose, CA 95112  
(408) 995-0881

**CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date August 22, 2002 with sufficient postage as first class mail in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Donald J. Pagel



COPY OF PAPERS  
ORIGINALLY FILED

file:///c:/APPS/preexam/correspondence/1.htm

FILING RECEIPT



\*OC000000005115686\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/467,551	12/10/1999	2768	890	AIMN-01-006	7	17	3

DONALD J PAGEL JR  
~~HOPKINS & CARLEY~~  
~~TEN ALMADEN BOULEVARD~~  
~~8TH FLOOR~~  
~~SAN JOSE, CA 951132228~~

586 N. First St., Suite 207  
San Jose, CA 95112

Date Mailed: 05/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

LYNN Y. LIU, CUPERTINO, CA ;  
XIAOMEI GUAN, SAN JOSE, CA ;  
MICHAEL E. HAYDEN, LOS ALTOS, CA ;

David Ling, San Francisco, CA

← add name

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/949,068 10/10/1997  
WHICH IS A CIP OF 08/727,996 10/09/1996 PAT 5,898,780  
AND CLAIMS BENEFIT OF 60/017,682 05/21/1996

Foreign Applications

If Required, Foreign Filing License Granted 02/04/2000

Title

INTERNET SETTLEMENT SYSTEM

Preliminary Class

705

RECEIVED  
OCT 07 2002  
GROUP 3600



COPY OF PAPERS  
ORIGINALLY FILED

Receipt  
3600

**PATENT APPLICATION**

In re application of: Liu et al.

Serial No.: 09/467,551

Filed: 12/10/99

For: "Internet Settlement System"

Att. Docket No.: AIMN-01-006

Art Unit: 3628

Examiner: Nguyen, Nga

**Box Non-Fee Amendment**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**RECEIVED**

OCT 07 2002

**GROUP 3600**

**TRANSMITTAL LETTER**

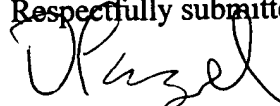
Transmitted herewith are the following: 1) An amendment; 2) A postcard receipt; 3) An IDS; 4) A red-lined version of the amended claims; and 5) A request to correct the filing receipt.

The fee calculation is as shown below:

current claims - claims prev. paid for	number of extra claims	Rate for extra claims	Additional fee due
total: 16 - 20 =	0 X	\$18.00 =	0.00
indep.: 3 - 3 =	0 X	\$84.00 =	0.00

Total Fee Enclosed \$ 0.00

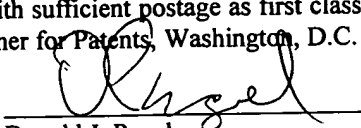
Respectfully submitted,

  
Donald J. Pagel  
Reg. No. 32,832

August 22, 2002  
Law Office of Donald J. Pagel  
586 N. First Street, Suite 207  
San Jose, CA 95110  
(408) 995-0881

**CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date August 22, 2002 with sufficient postage as first class mail in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Donald J. Pagel